



2818

PATENT
Attorney Docket No.: SAM-0203IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Dong-Jun Kim, *et al.* Examiner: Nhu, D.
Serial No.: 10/039,126 Group Art Unit: 2818
Filing Date: January 3, 2002
Title: SEMICONDUCTOR DEVICE HAVING A FLASH MEMORY CELL AND
FABRICATION METHOD THEREOF

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Post Office as First Class Mail on the date indicated below in an envelope addressed to Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

3-30-04

Date

Vanessa Marakas

Vanessa Marakas

Mail Stop Non-Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT TRANSMITTAL

Sir:

1. Transmitted herewith is an amendment for this application.

STATUS

2. Applicant is

- ☐ a small entity.
☒ other than small entity.

EXTENSION OF TERM

3. The proceedings herein are for a patent application and the provisions of 37 CFR 1.136 apply.

- (a) ☐ Applicant petitions for an extension of time under 37 CFR 1.136

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| Extension (months) | Fee for other than <u>small entity</u> | Fee for <u>small entity</u> |
|---------------------------------------|---|--------------------------------|
| <input type="checkbox"/> one month | \$110.00 | \$55.00 |
| <input type="checkbox"/> two months | \$420.00 | \$210.00 |
| <input type="checkbox"/> three months | \$950.00 | \$475.00 |
| <input type="checkbox"/> four months | \$1,480.00 | \$740.00 |

Fee \$ _____

If an additional extension of time is required, please consider this a petition therefor.

☐ An extension for _____ months has already been secured and the fee paid therefor of \$ _____ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ _____

OR

(b) ☒ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

FEE FOR CLAIMS

4. The fee for claims (37 CFR 1.16(b)-(d)) has been calculated as shown below:

| CLAIMS AS AMENDED | | | | | | |
|--|--|-------|---|----------------------------|--------|-----|
| | (1) | | (2) | (3) | | |
| | CLAIMS REMAINING AFTER AMENDMENT | | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT NUMBER EXTRA | RATE | FEE |
| TOTAL CLAIMS | 15 | minus | 26 | 0 | x \$18 | \$0 |
| INDEPENDENT CLAIMS | 2 | minus | 3 | 0 | x \$86 | \$0 |
| MULTIPLE DEPENDENT CLAIM ADDED | No | | | | \$290 | |
| | | | | TOTAL | | \$0 |
| If applicant has small entity status under 37 CFR 1.9 and 1.27, then divide total fee by 2 and enter amount here. | | | | SMALL ENTITY TOTAL | | |
| | | | | | | |

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(c) ☒ No additional fee for claims is required.

OR

(d) ☐ Total additional fee for claims required \$_____

FEE PAYMENT

5. ☐ Attached is a check in the sum of \$_____
☐ Charge Deposit Account No. _____ the sum of \$ _____.
A duplicate of this transmittal is attached.

Respectfully submitted,

Date: March 30, 2004
Mills & Onello LLP
Eleven Beacon Street, Suite 605
Boston, MA 02108
Telephone: (617) 994-4900
Facsimile: (617) 742-7774
J:\SAM\0203\amendmenttrans.wpd

Anthony P. Onello, Jr.
Anthony P. Onello, Jr.
Registration Number 38,572
Attorney for Applicant



Attorney Docket No.: SAM-0203
Application Serial No.: 10/039,126
Reply to Office Action of: December 30, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

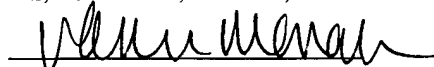
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Alexandria, VA 22313-1450

AMENDMENT B

Sir:

The following is in response to the Office Action dated December 30, 2003, and is filed within the shortened statutory period of three months. Please amend the application as follows.

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 6 of this paper.